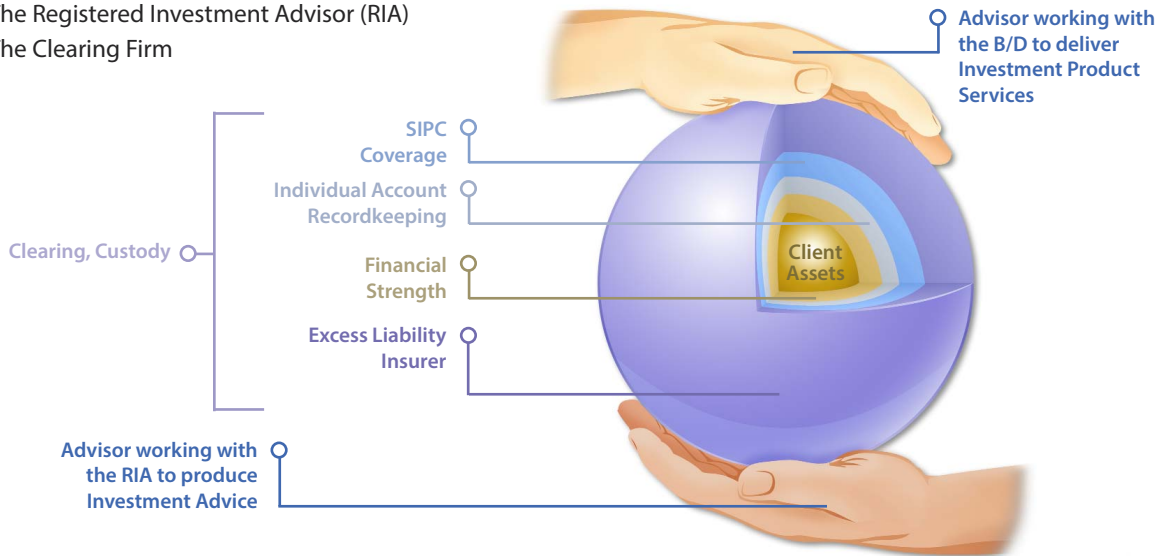


Protection of Your Assets

Our firm works with three different financial companies committed to offering investment products, services and advice, and protecting your assets in compliance with all regulatory authorities:

1. The Broker/Dealer (B/D)
2. The Registered Investment Advisor (RIA)
3. The Clearing Firm



Our firm has affiliated with **Lincoln Investment**, as the B/D, to offer investment products and services to you. Financial advisors with our firm are licensed as registered representatives with the B/D and Lincoln Investment is regulated by the Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), and by individual states in which it does business. Lincoln Investment is a member of the Securities Investor Protection Corporation (SIPC®), which provides protection for securities in your account, up to \$500,000 per client, of which \$250,000 may be in cash.

Our firm has affiliated with **Capital Analysts**, as the RIA, in order to provide investment advice to you. Capital Analysts, Inc. is registered with the SEC and financial advisors with our firm are licensed with the RIA as Investment Advisory Representatives (IARs). Financial advisors, through the RIA, provide services for ongoing portfolio management and advice. As an IAR, your financial advisor has an ongoing fiduciary responsibility to manage assets in your best interests.

Headquartered in suburban Philadelphia, **Lincoln Investment** and **Capital Analysts** have been working through independent financial advisors in offices nationwide for over 45 years. Collectively, those advisors represent more than 235,000 clients with nearly \$24.7 billion in assets.

Our firm works through **Pershing LLC** to provide clearing and custody of asset activities. A clearing firm is an organization that works with the exchanges to handle confirmation, delivery and settlement of transactions. Such corporations play a key role in ensuring that executed trades are settled within a specified period of time and in an efficient manner. Pershing LLC has been a leading global provider of financial business solutions for over 70 years and serves many of the world's most respected financial organizations, remaining committed to the safekeeping, servicing, segregation, and reporting of assets held in custody. Pershing's core financial strength provides the foremost measure of the protection of assets held in the company's custody.

The B/D, RIA and clearing firm allow us to provide you with sound products, services, investment advice, and asset protection.

Pershing LLC, member FINRA, NYSE, SIPC, is a subsidiary of The Bank of New York Mellon Corporation. For more information, please visit www.pershing.com. Source: Investorwords.com

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